

Memorandum of Agreement
By and Between
Central Ohio Transit Authority
and
Local 208
Columbus, Ohio
of the
Transport Workers Union of America
Customer Service Representatives
January 1, 2015
to
December 28, 2017

EMPLOYEE'S CREED

We, the members of Local No. 208 of the Transport Workers Union of America, AFL-CIO, acknowledge and accept our responsibility to the citizens of the service area of the Central Ohio Transit Authority. We shall work at all times in the best interest of the community we serve and we understand that we have been placed in the public trust to secure and protect the public monies. We shall, through labor and management working together in mutual respect for each others' rights and responsibilities, endeavor in words, deeds, and job performance to make the Central Ohio Transit Authority responsive to the transportation needs of the community we have pledged to serve.

AGREEMENT

(a) Agreement made and entered into as of the 1st day of January 2015, by and between the Central Ohio Transit Authority, its successors and assigns, hereinafter referred to as the "Authority," and the Transport Workers Union of America, Local No. 208-affiliated with the AFL-CIO, hereinafter referred to as the "Union," witnesseth:

(b) The wording of the tentative agreement, as approved and ratified by both parties, shall be included within the Memorandum of Agreement. If omissions and/or errors are made during the printing of the Memorandum of Agreement, then the original approved tentative agreement shall be binding.

ARTICLE I

Purpose

It is recognized by the parties that they are engaged in furnishing an essential public service and that such service is dependent upon the good will and patronage of the public. To the end that the parties may discharge their respective obligations to the public without interruptions by strife and controversy, the parties hereby mutually agree as follows:

ARTICLE II

Union Recognition

Section 2.1: For the purpose of collective bargaining during the term of this Agreement in respect of the matters herein embraced, the Authority recognizes the Union as the exclusive representative for the full-time Customer Service Representatives. Management reserves the right to hire part-time Customer Service Representatives, but they will not be members of the bargaining unit. All positions and classifications not specifically established in this Agreement as being included in the bargaining unit shall be excluded from the bargaining unit.

Section 2.2: As a condition of employment:

(a) All bargaining unit employees, thirty (30) days after their assignment to service, shall either become members of the Union or be subject to payment of a fair share fee that will not exceed the dues paid by members of the Union. The fair share fee shall be determined and collected in accordance with the Union's Fair Share Fee Policy, which shall be subject to review and approval by the Authority.

(b) The fair share fee shall be deducted by the Authority from the payroll checks of all employees who are not members of the Union, and its payment to the Union is automatic and does not require the written authorization of the employee. The payment to the Union of the fair share fees collected by the Authority shall be governed by the provisions of the Memorandum of Agreement that pertain to the payment to the Union of regular Union dues.

(c) All references in the Memorandum of Agreement to "Union members" or "members of the Union" shall mean all members of the bargaining unit.

Section 2.3: "Membership" in the Union means membership for the duration of this Agreement to the extent that such membership is available to an employee on the same terms and conditions generally applicable to all members and who meet the requirements of timely tendering of the periodic dues and initiation fees uniformly required of other members as a condition of membership.

Section 2.4: Upon receipt of a written individual authorization, not revocable until after one (1) year, the Authority agrees that for the duration of this Agreement and any renewal, or renewals thereof, it will deduct from such employee's earnings initiation fees, upon the specific request of the Union, and deduct from the first payroll period of each month Union dues, fair share fees and C.O.P.E. contributions in the amount certified by the Secretary-Treasurer of Local No. 208. The said dues, fair share fees and contribution and assessments shall be forwarded to the Union on the first Monday after collection.

Section 2.5: An employee in this bargaining unit may apply, along with other COTA employees, for a vacant position in other bargaining units. An employee who transfers to another bargaining unit position shall have his/her wage progression follow. An employee in this bargaining unit who transfers or is promoted to a non-bargaining unit shall lose his/her seniority for all purposes (rights and benefits), upon such transfer/promotion. If such employee is subsequently transferred or reemployed in a bargaining unit his/her seniority shall not be reinstated. Instead, his/her seniority, for all purposes (rights and benefits), shall commence with

date/time of return to a bargaining unit position.

Section 2.6: The Union shall have the right to post notices of meetings and newsletters and distribute campaign literature, for the purpose of running for Local No. 208 of the Transport Workers Union elected offices, on Authority property in accordance with applicable law. At no time may the distribution of campaign literature or newsletters interfere with the operation of the Authority by interrupting employees from their work assignments to receive or read the materials.

Section 2.7: (a) Union officials may contact bargaining unit members on matters involving Union business at the Authority's place(s) of business, provided the contacts are made and completed while the employee has not yet begun work, has completed work, or is on authorized breaks or lunch time, i.e., on non-working time.

(b) The Union official may contact a bargaining unit member while such member is working, provided the immediate supervisor of the member is first contacted and grants permission for such contact.

(c) All contacts under this Subsection must involve official Union business and are to be brief in time used. Nothing contained in this Section shall be deemed or construed to grant a Union official or the employee time off from his/her own work schedule.

Section 2.8: (a) Provided the Union President's position remains a full-time position, if an employee in Local 208 is elected and serves as Union President, s/he shall automatically be granted release time by the Authority from his/her regular job duties to serve as the Union President. This full-time release shall remain in effect during the employee's term in office as Union President. It is understood that the Union President remains a COTA employee and works directly with employees of the Authority during this release time.

(b) Any employee of Local 208, exclusive of the full-time Union President, shall be granted release time by the Authority from regular job duties on a part-time basis to transact business for the Union and/or the Authority, provided reasonable advance notice is given by a Union official for such release time. Only one (1) employee in the Customer Service Center bargaining unit will be granted this release time at any one time.

(c) During the release time specified in paragraphs (a) and (b) of this Section, employees so released:

- (1) Shall continue to receive their regular wages from the Authority, on its payroll;
- (2) Shall suffer no loss of seniority rights with the Authority;
- (3) Shall have full participation in the Authority's insurance programs;
- (4) Shall be covered by the Authority's workers' compensation program;
- (5) Shall have PERS employer and employee contributions submitted by the Authority on their behalf.

Employees who are released on a part-time basis shall continue to receive all benefits provided by the Authority to bargaining unit members. The Union shall reimburse the Authority for all costs that the Authority pays for employees released from their regular job duties under provisions of paragraphs (a) and (b) of this Section. This reimbursement shall include all wage payments, all PERS contributions and for all such employees hired after 1986, all Medicare contributions. Such reimbursement shall be paid by the Union to the Authority in the month following any such payments made by the Authority. This section shall be binding on the parties to the extent permitted by law.

(d) An unpaid leave of absence shall be given to any employee who is elected or appointed as a full-time officer in the International Union, for the duration of the employee's tenure in such office, without loss of seniority rights with the Authority.

Section 2.9: (a) The Authority shall provide to the Union a list of supervisory and non-supervisory positions that have supervisory or functional control over its membership. Such list shall outline the general responsibilities of these positions and the chain of command from which these positions draw their supervisory or functional authority. This list will encompass those persons who, on an emergency basis, will have the right to assist and perform the duties normally assigned to the bargaining unit.

(b) When orders, instructions, or any other information is relayed by individuals occupying the positions on the list provided, such orders, instructions or any other information shall be considered as derived from the chain of command in which the individual works and shall be considered official notification.

Section 2.10: The Authority shall assure that there is at least one (1) approximately 4' x 4', locked, glass enclosed bulletin board at each facility with access to the Customer Service Representatives. Such bulletin board(s) shall be installed in the areas as designated by the Authority. No item may be posted on these bulletin boards unless it carries the Union seal and is in accordance with other contractual language that might be contained within this Memorandum of Agreement.

Section 2.11: The Authority shall make arrangements for payroll deductions regarding the Committee for Political Education (C.O.P.E.) Such deductions shall be made based upon signed authorization cards, and shall be deducted each month along with the Union dues and fair share fees. Such deductions will be shown on the employee's earning statement. The Authority will forward a check to the Union (as prescribed in the dues check-off under Article II, Section 2.4) and a separate check, which will include C.O.P.E.

Section 2.12: The Authority will arrange for check-off to Consumer Credit Counseling (a specific agency) upon request.

ARTICLE III

Management Responsibility

Section 3.1: The Union recognizes that, except as specifically limited or abrogated by the terms and provisions of this Agreement, all rights to manage, direct, or supervise the operations of the Authority and all of the employees in the bargaining unit are vested solely and exclusively with the Authority and/or its designated representative. In addition, the Union agrees that all of the functions, rights, powers, and responsibilities of the Authority in regard to the operation of its work and business and the direction of its workforce that the Authority has not specifically abridged, deleted, granted or modified by the express and specific written provisions of this Agreement are, and shall remain, exclusively those of the Authority and shall not be subject to the grievance procedure. Not by way of limitation, but only to indicate the type of matters or rights that belong to and are inherent to the Authority, the Authority retains the rights to:

- (1) Hire, evaluate and transfer/promote (based on necessary qualifications and skill levels) employees;
- (2) Demote, discharge, suspend, reprimand or otherwise discipline employees for just cause;
- (3) Determine the composition and size of the department workforce and the number of persons required to be employed or laid off or discharged due to lack of work or funds;
- (4) Determine the starting and quitting time and the number of hours to be worked by its employees, including overtime;
- (5) Make any and all rules and regulations regarding Customer Service Center operations and conduct of the employees. Such rules to be reasonable and equally applicable to all;
- (6) Assign work, establish and/or change working hours, schedules and assignments as deemed necessary by the Authority to assure efficient operations;
- (7) Determine the basis for selection, retention and promotion of employees;
- (8) Determine the type of equipment used and the sequence of work processes;
- (9) Determine the making of technological alterations by revising either process or equipment;
- (10) Direct and supervise the employees, to establish and/or modify performance programs and standards, and to monitor and judge the quality and quantity of work to be produced;
- (11) Establish an attendance policy;
- (12) Select and locate buildings and other facilities;
- (13) Establish, expand, transfer and/or consolidate work processes and facilities;
- (14) Transfer or subcontract work; and
- (15) Terminate or eliminate all or any part of its work or facilities.

Section 3.2: It is agreed that during the term of this Agreement there shall be no strike, slowdown, cessation or stoppage of work by employees except that stoppage of work that occurs, out of good faith, due to dangerous or unhealthy working conditions at the work site, e.g., as declared by the Ohio Department of Public Health, the Environmental Protection Agency, the Ohio Industrial Commission or local/state/federal law enforcement agencies, which are abnormal to a work site shall not be deemed to violate this prohibition. It is also agreed that there shall not be any lockout by the Authority. A lockout shall not be deemed to include cessation or discontinuance of transportation service by order of court or otherwise than by reason of a labor dispute under this Agreement.

Section 3.3: The Authority agrees that it will not attempt to hold the Local or International Union financially responsible or institute legal proceedings for damages against the Union for violations of Section 3.2 of Article III, which are not authorized, encouraged, supported, sanctioned or condoned by the Union. It is further expressly agreed by the parties hereto that nothing contained in Section 3.2, hereof, or in any other part of this Agreement shall be construed or used to form the basis for a claimed breach of this Agreement for the purpose of supporting any suit for damages against the other party unless and until the party complaining of such breach of contract has notified the other party hereto of the existence of such contention and the latter party shall fail or refuse to take immediate steps to correct the same.

ARTICLE IV

Equal Employment Opportunity

The parties agree that race, color, religion, sex, sexual orientation, gender identity, creed, national origin, age, veteran or military status and/or disability shall not be a factor in the hiring of employees, or establishing the conditions of their employment, rates of pay, hours or working conditions. No employee shall be deprived of equal employment opportunity nor be subject to any discrimination in the exercise of his/her employment rights on account of race, color, religion, sex, sexual orientation, gender identity, creed, national origin, age or disability.

ARTICLE V

Grievances

Section 5.1: (a) Should disagreements arise with respect to the interpretation or application of this Agreement or concerning any action against an employee involving discipline, discrimination, suspension or discharge, such disagreements shall constitute a grievance and shall be disposed of in the manner hereinafter set forth. Any suspension or other penalty shall be imposed upon an employee immediately after the ascertaining of all facts relating to the cause thereof, and shall be served immediately after such imposition. Any employee called to the business office for an investigation of facts shall have the option to have at least one (1) Union official present. Disciplinary interviews shall only be held when the affected employee, Union representative if requested, and the proper management officials are available.

(b) The Authority will give any employee who is notified that s/he is to be discharged or suspended for more than one week, 24 hours, within which s/he may secure Union representation to meet with an Authority official for a review of his/her case. During such 24-hour period, the employee involved will not be allowed to perform any work for the Authority, and unless the conduct is egregious as set forth below, will be paid for their normal straight time schedule during the 24-hour period, and for any additional time prior to the issuance of the disciplinary action. If the Authority does not modify the discharge or suspension to the satisfaction of the employee and the Union, the employee involved shall have recourse directly to Step 2 of the grievance procedure outlined below. Within one week of the action, the Authority shall notify the Union, in writing, of any disciplinary action involving suspension, retraining, re-instruction or discharge, and the reasons therefore. COTA shall have the right to place an employee on administrative leave with pay during an investigation. Such administrative leave will be with pay at their normal straight time scheduled hours unless the conduct alleged is determined by COTA to be egregious then COTA may suspend the employee without pay. The employee and Union will be given the opportunity to respond to the Authority's determination that the conduct is egregious at the time the suspension is issued. If discipline is issued, any suspension without pay may be included as a part of any subsequent grievance. If discipline does not occur, the employee will be paid their normal straight-time scheduled hours for the period of the unpaid suspension.

Section 5.2: The rights of the Union and employees hereunder, including suspension, discharge or complaints made by the Union with respect hereto, shall be considered and disposed of in the following manner:

Step 1: A grievance must be filed within four (4) of the employee's workdays after its occurrence is discovered. A grievance is filed when it is presented by the employee and/or a Union representative to the office supervisor or a designated representative. Step 1 grievance procedure meetings will be conducted at the facility where the grievant is assigned. If the grievance is not satisfactorily settled by the Manager of Customer Service/VP of Corporate Communications within five (5) business days of presentation to him/her, then within five (5) days after the expiration of said five (5) business day period, the grievance may be appealed to Step 2. A grievance is appealed to Step 2 when it is reduced to writing by the party aggrieved and presented to the Authority's President/CEO or a single designated representative.

All time limits as contained herein shall exclude Saturdays, Sundays, holidays and days off. These time limits apply to both filing and answering grievances.

Step 2: Step 2 grievances shall specifically set forth the article and section number of the Agreement or COTA policy claimed to have been breached, misapplied or misinterpreted. The President/CEO or a designated representative, within ten (10) business days after the presentation of the written grievance to him/her, shall meet with the aggrieved employee, the supervisor who issued the initial report resulting in discipline (unless not available due to emergency or no longer employed with the Authority), and at least one (1) Union representative in an attempt to satisfactorily adjust such grievance. The President/CEO, or designee, shall notify the Union and the aggrieved employee, in writing, of the final disposition of such grievance within five (5) business days after the hearing. All time limits as contained herein shall exclude Saturdays, Sundays, holidays and days off. These time limits apply to both filing and answering grievances.

Section 5.3: Any grievance affecting two (2) or more employees and involving essentially the same issue or issues, except cases relating to the discharge of an employee, may be considered a Union grievance. A Union grievance, as distinguished from an individual grievance, may be instituted at Step 2 as outlined above, but such grievance must be in writing setting forth the claimed unjust discipline, breach of contract or its misapplication or misinterpretation. Such grievance shall also specifically set forth the article and section number of the Agreement or COTA policy claimed to have been breached, misapplied, or misinterpreted.

Section 5.4: A Union steward or officer, who is necessary to represent employees during periods while the steward or officer is on paid time, will not be docked pay so long as the time spent is reasonable. All preparation of grievances and investigations shall be conducted during non-working hours.

Section 5.5: A representative from the Human Resources Division may sit in on all second step hearings. The representative shall be informed of the date and time established for the hearings and shall strive to attend such meetings. In the event a representative is not present, it shall have no effect, and the hearing shall take place. The representative shall have official capacity in the hearing in the area of Equal Employment Opportunity and Affirmative Action.

Section 5.6: (a) Whenever a detrimental entry to an employee's record is made a part of his/her service record, such employee shall be notified, in writing, forthwith of such entry. Upon employee's request, the employee shall be furnished a copy

of everything put in his/her service record. Such entries on an employee's service record may be the subject of a grievance, but such grievances shall not be subject to arbitration.

(b) An employee who is given disciplinary action shall be provided (upon request) a copy of his/her performance record card showing a summary of the employee's work record for the preceding (at a minimum) twelve (12) months prior to this disciplinary action.

(c) Service records for disciplinary actions will be limited to one (1) year prior to any action being taken, except arbitrations, which will be three (3) years.

Section 5.7: The Authority will furnish to the Union, grievance forms that must be fully executed in presenting a grievance at Step 2 of the grievance procedure. Such grievances shall be numbered consecutively, beginning with number 1 at the beginning of each Agreement year.

Section 5.8: The waiver of any of the time limits set forth above in any case or cases shall not constitute a precedent or a waiver of any time limits in future cases, or be used as defense or excuse for future failures to observe any prescribed time limits.

Section 5.9: The foregoing formal procedure is not intended to foreclose the settlement of grievances at the supervisor or superintendent level. Such discussions and settlements are to be encouraged at all times and are not to be considered precedent setting.

Section 5.10: (a) When a party fails to meet the time limits appearing in this Article or Article VI, the grievance shall automatically be decided in favor of the other party unless the Union and the Authority mutually agree in advance to waive the limits contained in these Articles. It is to be understood that the conditions as prescribed in this Section shall constitute full relief as requested on behalf of the grievant, or that the action(s) taken against said grievant shall stand. This applies where "automatically decided in favor of the other party" appears.

(b) Grievance answers must be in writing at all step levels.

(c) In the case of second step grievance answers, the Union may elect to pick up such answers in person at the office of the Authority; provided further that they are not picked up later than the date required under this Memorandum of Agreement and the Union President or his/her representative shall sign for such grievances by affixing his/her signature, date and time such grievances were personally picked up.

Section 5.11: When a written notice is required under this Agreement, except in the case of Step 2 grievances to which Article V, Section 5.10(c) applies, such notice shall be considered as given or served on the day a letter is presented in a properly addressed envelope either in person or by U.S. certified mail, postage prepaid, return receipt requested, to the executive office of the Authority and to the executive office of the Union and to the employee at the last address furnished to the Authority by such employee. When so mailed or presented, notice will be complete even though no one is present to accept such notice. All time limits shall be computed beginning with the first day following presentation of the notice, excluding Saturdays, Sundays, and holidays.

ARTICLE VI

Arbitration

Section 6.1: Unless otherwise specifically provided in this Agreement to the contrary, any grievance, not satisfactorily adjusted to Step 2 of the grievance procedure may be submitted to arbitration as hereinafter set forth.

Section 6.2: (a) If the Union desires to proceed to arbitration, the Union shall notify the Authority of such desire, in writing, within forty-five (45) calendar days after notice of final disposition of the grievance at Step 2 of the grievance procedure. If the Authority desires arbitration, the Authority shall notify the Union of such desire, in writing, within five (5) days (exclusive of Saturdays, Sundays and holidays) after disposition of the grievance at Step 2 of the grievance procedure.

(b) If the party desiring arbitration wishes to utilize other expedited procedures, it shall so state in its notice, and in such event, the parties shall meet within five (5) business days (exclusive of Saturdays, Sundays or holidays) to discuss whether other expedited procedures will be utilized. If both parties agree to utilize other expedited procedures, the grievance will proceed to other expedited procedures. If either party shall refuse to proceed to other expedited procedures, it shall state its reasons for such refusal. If the Authority is the party to refuse to proceed to other expedited procedures the Authority's legal counsel shall explain to the Union any legal reasons for such refusal.

(c) In the event that other expedited procedures are not mutually agreed to, then within five (5) business days (exclusive of Saturdays, Sundays and holidays) of the above meeting between the parties, either party may request the American Arbitration Association, at its nearest office, to submit a list of names of persons eligible to act as an arbitrator. A written notification will be sent to the other party within the same five (5) business day period. Within five (5) business days (exclusive of Saturdays, Sundays and holidays) after receipt of such list of eligible persons, the parties or designee(s) shall select an arbitrator by alternately striking names from said list until one name remains. Said arbitrator shall conduct a hearing under the procedures set forth under the voluntary labor arbitration rules, currently being used by the American Arbitration Association. The arbitrator shall render a decision within thirty (30) days following the close of the hearing. If necessary, such limit may be extended by agreement of both parties.

(d) In any case involving a discharge or suspension of more than five (5) days, where other expedited procedures have not been utilized, the parties will request a bench decision from the arbitrator and will make the fullest effort to expedite the above

process to see that such bench decision is rendered within sixty (60) days of said discharge or suspension.

Section 6.3: The arbitrator shall have no authority whatsoever to alter or vary the items of this Agreement or pass upon any classification wage rate or wage rate schedule.

Section 6.4: (a) The arbitrator shall confine him/herself to the issue or issues properly before him/her, and his/her decision shall be in writing, and final and binding upon the parties.

(b) In discharge cases, the portion of the service record of the discharged employee extending beyond three (3) years back from the date of the discharge may not be submitted into evidence by either party at arbitration where the justness of the employee's discharge is at issue.

Section 6.5: Either party shall be entitled to a separation of witnesses, upon request made to the arbitrator at the beginning of the hearing. The expense of witnesses called by either party shall be paid by the party producing such witnesses. All other expenses of the arbitration, including stenographic record of the proceedings, shall be borne equally by the parties.

Section 6.6: The parties further agree that any arbitral issue, except a claim of "lockout," shall be processed completely through the grievance and arbitration procedures provided for in this Agreement, before any recourse is had, to any court or other governmental agency. Only the parties to this Agreement may initiate the arbitration procedure or seek review of an arbitration award.

ARTICLE VII

Accident Review Committee

Employees subject to discipline due to experiencing an accident while operating an Authority vehicle shall be permitted to file an appeal of any charge of preventability to the Accident Review Committee established in Article VII of the Memorandum of Agreement by and between COTA and Local 208 (motor coach operators and service department employees.)

ARTICLE VIII

Wages

The Wage Schedule (Appendix "A") covered by this Agreement shall become effective on the date therein stated and in accordance with the Schedule attached hereto and made a part hereof.

ARTICLE IX

Vacations

All rights accrued under Section 9.44, or subsequent sections, regarding vacation credit shall be superseded by this Memorandum of Agreement.

Section 9.1: (a) Employees having one (1) year of active service shall receive a vacation of one (1) week with pay. Employees may exercise a vacation option privilege after completing six (6) consecutive months of service. However, employees exercising this privilege (before completing twelve [12] consecutive months of service) and who leave the employment of the Authority before having one (1) year of active service, shall be required to reimburse the Authority for any vacation pay, which was paid to the employee exercising the vacation option privilege.

(b) Employees having three (3) consecutive years of active service shall receive a vacation of two (2) weeks with pay during the following year. However, an employee must have completed a service of not less than thirty (30) consecutive months before this privilege becomes effective.

(c) Employees having seven (7) consecutive years of active service shall receive a vacation of three (3) weeks with pay during the following year. However, an employee must have completed a service of not less than seventy-eight (78) consecutive months before this privilege becomes effective.

(d) Employees having thirteen (13) consecutive years of active service shall receive a vacation of four (4) weeks with pay during the following year. However, an employee must have completed a service of not less than one hundred fifty (150) consecutive months before this privilege becomes effective.

(e) Employees having twenty-two (22) consecutive years of active service shall receive a vacation of five (5) weeks with pay during the following year. However, an employee must have completed a service of not less than two hundred fifty-eight (258) consecutive months before this privilege becomes effective.

(f) Employees having twenty-eight (28) consecutive years of active service shall receive a vacation of six (6) weeks with pay during the following year. However, an employee must have completed a service of not less than three hundred thirty (330) consecutive months before this privilege becomes effective.

(g) A week of vacation shall consist of seven (7) consecutive calendar days during which an employee shall be exempt from any duty, except in case of emergency. Vacations will be scheduled at the discretion of management.

(h) Employees may be permitted to take all of vacation one (1) day at a time provided that the employee notifies the Authority

of the intent to do so at the same time vacation is chosen. Consecutive days shall be defined as days uninterrupted by approved days off or other days off provided by the contract. Employees shall request their vacation day(s), in writing, no later than fifteen (15) calendar days prior to the day(s) the employee wishes to schedule off. The Authority will either approve or disapprove the employee's request at least seven (7) days preceding the date requested. Once approved, the Authority shall not cancel vacations within seven (7) days preceding the date scheduled off. Seniority will be used in determining approval/disapproval in cases where the Authority cannot accommodate all requests. For employees working a 4-10 assignment, vacation pay per day may be paid, at the option of the employee, for an eight (8) hour or ten (10) hour day at the straight time hourly rate.

(i) A week of vacation pay shall consist of forty (40) hours at the employee's regular straight time hourly wage rate of pay prevailing at the time the vacation privilege is exercised.

(j) When all of the above conditions are met, vacations shall be considered as earned and shall be payable on termination of employment or layoff. Absence due to illness or off-duty injury of less than ninety (90) workdays within a calendar year, and absence due to injury arising out of employment or military service, shall not be considered as a break in continuous service in the matter of vacation. Absence in excess of ninety (90) workdays in any calendar year, due to sickness or injury not incurred in the course of employment, shall result in the loss of one (1) hour of vacation pay during the succeeding year for each workday of such absence in excess of such ninety (90) workdays, but shall not be considered as a break in service in determining succeeding vacations. In the event that an employee is on sick leave when his/her vacation falls due, such employee shall receive his/her vacation pay when due or shall have the option to reschedule such vacation (upon two [2] weeks' notice to the Manager of Customer Service prior to the originally scheduled vacation) to a later date provided there is an opening available. Employees absent by reason of an occupational accident arising out of employment with the Authority, shall be required to have a total of six (6) months active service (in the immediate preceding year) to qualify for a vacation in the succeeding year.

(k) Retirees shall be entitled to compensation for prorated vacation for the year in which they retire, calculated in accordance with the following formula:

$$\frac{A-B}{C} \times D = E$$

C

A-calendar days as of retirement

B-consecutive sick/personal days taken in excess of 7

C-number of calendar days in year

D-annual hours of vacation entitlement

E-prorated vacation hours

(l) Upon seven (7) days' notice (request), an employee may receive vacation pay by noon (12:00 p.m.) the last day before commencement of the vacation. The amount will be adjusted for less than a week's pay due to the employee's pending tax, liens, court orders, garnishments, etc. Under no circumstances will an employee be granted another advance at the termination of vacation.

(m) All vacations earned shall be taken, except for those "banked" in accordance with this Subparagraph (m). Specifically, an employee who has earned three (3) weeks or more vacation may elect not to take, but to bank, a maximum of two (2) weeks' vacation in any one year; however, an employee who has three (3) weeks of earned vacation may only bank one (1) week of such vacation. There shall be no limit on the number of weeks banked over a period of years, so long as not more than two (2) weeks are banked in any one year. Once a week(s) of vacation is banked, it may never be taken. Instead, upon the employee's death or termination of employment, the employee's estate or the employee, as the case may be, will be paid for the amount of banked vacation to the credit of such employee at date of death or termination of employment, as the case may be. Each week(s) of vacation banked by an employee shall be maintained on the Authority's records as banked at the straight time hourly rate of pay being earned by that employee as of December 31 of the year in which such week(s) of vacation was earned. Upon death or termination of employment, the dollar amount paid by the Authority shall be computed by valuing each week of banked vacation on the basis of the straight time hourly rate of pay that was being paid such employee on December 31 of the year in which such week of banked vacation was earned. Employees desiring to bank vacation(s) must make such choice at the annual vacation sign-up.

(n) Employees shall take a minimum of two (2) weeks of their earned vacation in each entitlement year. Those who wish to work vacation entitlement, in excess of two (2) weeks, must express their desire to do so at the time of vacation sign-up. This decision cannot be changed for that vacation year. An employee who chooses a vacation must take all of the amount (week or weeks) chosen. A maximum of one (1) week of unused vacation, for employees who earn more than one (1) week of vacation, can be carried over into the employee's next entitlement year. Employees shall be paid for all un-chosen vacation pay at his/her written request, which must be made seven (7) calendar days prior to the payday on which the pay is to be received.

(o) Employees may take a half day (4 hours) of vacation at a time on the eight (8) hour days or five (5) hour increments on ten (10) hour days.

(p) There shall be an annual vacation sign-up. An employee may elect to choose the dates of his/her vacation week(s) for the year. Sign-up will be by Customer Service Representative seniority. Employees who choose not to sign-up for vacation may elect to take their unbanked vacation during the year on a first come first serve basis and at the discretion of the Authority.

ARTICLE X

Holidays

Section 10.1: (a) The following days are recognized as fully paid holidays: national holidays limited to New Year's Day, Martin Luther King Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day or the days observed as such holidays. Other holidays are the employee's birthday, anniversary date of employment, a personal day (mutually agreeable between the employee and management), or the days observed as such holidays.

(b) Any employee who has completed three (3) years of service shall receive eight (8) hours personal holiday pay at his/her straight time rate once per calendar year. Such payment will be included in the employee's pay check received in the first pay period immediately prior to Christmas. No specific day will be identified as such personal holiday, no time off will be granted, and no premium pay will be paid in conjunction with said personal holiday.

(c) Employees who are not required to work on the days observed as such holidays shall receive the equivalent of eight (8) hours pay at their straight time hourly rates. An employee who is on paid sick leave on a day observed as a holiday will not receive holiday pay and will be charged with and paid for sick leave that day.

(d) Employees scheduled to work on national holidays observed by COTA, as set forth in Section 10.1 (a) above, and who work their full assignment, shall receive holiday pay, as previously stated, in addition to pay at the rate of one and one-half (1½) times the hours worked on such holidays.

(e) Employees who are not scheduled to work on a day observed as a holiday, but who are called in to work, shall be paid time and one-half (1½) for all hours worked plus holiday pay.

(f) In order to be eligible for holiday pay for any of the holidays set forth in 10.1(a), an employee must meet the following conditions:

(1) An employee must have completed thirteen (13) weeks of active service with the Authority at the time of the day observed as a holiday.

(2) For New Year's Day, Thanksgiving and Christmas, employees must complete all scheduled work assignments on both their last normally scheduled workday preceding these holidays and on their first normally scheduled workday following these holidays. Employees on any leave approved by COTA (but not sick leave), such as vacation, bereavement, Union business, or jury duty, must have worked their last normally scheduled workday preceding these holidays and their first normally scheduled workday following these holidays before going on such leave, in order to be eligible for holiday pay.

(3) For the 4th of July, employees must complete all scheduled work assignments on their last normally scheduled workday preceding this holiday in order to be eligible for holiday pay.

(g) An employee returning from a long-term illness (over thirty [30] days) collecting weekly sick benefits will be paid for only his/her birthday and/or anniversary days, which fall within that period. An employee on industrial compensation or on a no-pay status is ineligible for such pay. Payment of these days will be included in the employee's next regular pay period upon return to work. The employee must be qualified for holidays under Article X, Section 10.1(f)(1) prior to going on the sick list in order to be eligible for these payments.

Section 10.2: (a) The birthday and anniversary date of employment (Authority seniority date) shall be taken on the effective day of such holiday. If such holiday(s) fall during a period where the employee is not scheduled to work, the employee will have the option of working or not working the next scheduled workday. In order for an employee to exercise the option to work the next scheduled workday, the employee must notify the Authority at least two (2) weeks prior to such holiday involved. If the employee does not provide such notice, the employee will not work the next scheduled workday, but will be paid the holiday pay on the next scheduled workday.

(b) Customer Service Representatives may take birthday or anniversary holidays if the supervisor is given seven (7) days' notice of employee's intent to do so, but the birthday or anniversary holiday must be taken within the twelve (12) months following the actual birthday or anniversary holiday. However, where such an employee has elected and been permitted to move or transfer the date on which s/he is to celebrate a holiday under a provision of this Agreement, this provision shall not prohibit the scheduling of such employee on the original holiday, i.e., the actual date recognized by this Agreement as the holiday. Management shall have the right to refuse such a request for the specific day requested.

(c) Customer Service Representatives shall be paid for their personal day, anniversary day, and birthday at their straight time hourly rate: eight (8) hours for 5-8 schedule or ten (10) hours for a 4-10 schedule at straight time hourly rate.

(d) When a holiday is observed during a Customer Service Representative's vacation, s/he will have the option of receiving holiday pay, in addition to vacation pay on the day recognized as the holiday or take the next scheduled day off with pay.

ARTICLE XI

Sick Days

Section 11.1: (a) Employees will start to earn sick days after ninety (90) calendar days of regular active employment.

(b) Employees shall earn sick leave days according to the following progression: five (5) days in the first year of employment; five (5) days in the second year of employment; seven (7) days in the third year of employment; ten (10) days in the fourth year

of employment; and thirteen (13) days thereafter.

(c) The maximum number of sick days that may be accumulated by any employee shall be one hundred fifty (150) days or twelve hundred (1200) hours.

Section 11.2: (a) An employee who uses a sick day(s) when sick/injured or as authorized under bereavement leave, shall be paid his/her respective straight time hourly rate of pay as of the day(s) such sick day(s) is used. Sick leave may be used for an employee's own illness or injury, valid dental or medical appointments, to extend bereavement leave and for illness of employee's dependent(s).

(b) An employee on a 5-8 schedule will be charged eight (8) hours for each sick day used. An employee on a 4-10 schedule will be charged ten (10) hours for each sick day used.

(c) Employees may use sick leave in increments of four (4) hours for a 5-8 assignment and increments of five (5) hours on a 4-10 assignment.

(d) Employees may use up to two (2) days of unused accumulated sick day(s) to extend covered bereavement leave (See Article XIII, Bereavement Leave [b]).

Section 11.3 Conversion: All sick leave will be paid at current hourly rates (November 30 for conversion or date of separation/retirement) and will be considered to be last in first out when used or converted ("sold-back") during an annual conversion or at separation per the conversion chart in 11.6.

(a) Conversion at Separation: Resignation/termination will be offered to all separating employees, except the following:

- (1) Employees who resign without a two (2) week notice;
- (2) Employees who are terminated for any attendance related offenses including AWOL; and
- (3) Employees who are terminated for any offense related to theft, fraud, or falsification.

Section 11.4: The Authority accepts the fact that it has the burden of establishing alleged abuse of sick leave on any day when such abuse is alleged. The Authority also acknowledges that the failure to receive an answer upon a telephone call to the employee's residence does not, by itself, establish such abuse.

Section 11.5 Annual Conversion: (a) An employee who has accumulated more than fifteen (15) unused sick days, one hundred twenty (120) hours, as of November 1st of any given year, may sell no more than one hundred (100) hours per year back to the Authority, provided that the employee files a written request prior to November 1st of the respective year. The Authority will issue a separate check for the amount of such sick day(s) sold to the Authority on or before December 15th of the year.

(b) If an employee elects to sell sick day(s), such unused sick days shall be sold and debited from the employee's record, per the conversion chart in 11.6 provided that employees who have used two or less sick days (not counting sick days used for bereavement) shall be permitted to sell-back at one hundred percent (100%) of the current hourly rate, subject to the minimum balance requirements. To qualify to sell back at one hundred percent (100%) of the current hourly rate, employees must have used two (2) or less sick days between November 1st of the previous year and October 31st of the current year; otherwise the conversion chart in 11.4 applies.

Section 11.6 Conversion Chart: Sick leave shall be valued and "sold-back" upon separation or during the annual conversion (see 11.5) according to the following schedule (current hourly rate as of November 30 for conversion or date of separation/retirement):

- 0-415 sick hours must be earned in order to sell back at 0% of the current hourly rate
- 416-1143 sick hours must be earned in order to sell back at 50% of the current hourly rate
- 1144-1871 sick hours must be earned in order to sell back at 75% of the current hourly rate
- 1872+ sick hours must be earned in order to sell back at 100% of the current hourly rate

ARTICLE XII

Insurance

The levels and types of benefits, which have been mutually agreed upon shall be awarded to the insurance administrator/carrier who, in the judgment of the Authority, presented the lowest and best bid in accordance with prevailing state statutes and codes governing such bidding procedures.

Section 12.1: Insurance Plans and Premiums (a) The Authority employees who are enrolled in the plan, effective the first day of the month in which the 90th day occurs (example: any employee hired October 15th, insurance would be effective January 1st) and on the active payroll (for ninety [90] days) shall pay a percentage of the monthly premium (as detailed in 12.1[b]) of the cost of the group insurance plan (medical, prescription, dental and vision) through payroll deduction. Payments will be deducted twice monthly.

The parties agree to create and educate an employee panel to research, review, and recommend improvements to COTA's health insurance program, which would have no detrimental impact or cost to the employee.

All employees will have coverage for Dental, Vision, Weekly Sick Benefits, and Life/AD&D insurance. Employees may choose from two (2) medical benefit options, as outlined in Appendix C, at the time of hire and/or during an annual Open Enrollment period. If the employee does not make an election, s/he will automatically be enrolled in Option 1. An employee cannot switch from Option 2 to Option 1 between Open Enrollment periods unless the employee involuntarily loses his/her other

coverage; at this time, the employee must enroll in Option 1 within thirty-one (31) days. Coverage begins at notification.

(b)

- (1) Participation in the biometrics wellness program means completion of the annual biometrics test.
- (2) Effective March 1, 2015, and each year thereafter, an employee and spouse, where applicable, who are participating in the biometrics wellness program and who meet their annually established goals, will make a six percent (6%) monthly premium contribution. An employee and spouse, where applicable, who are participating in the biometrics wellness program, but who do not meet their established goals, for any reason other than nicotine usage, will make a ten percent (10%) monthly premium contribution. An employee and spouse, where applicable, who are participating in the biometrics wellness program but who do not meet their established goals due to nicotine usage will make a twenty (20%) monthly premium contribution. An employee or spouse who chooses not to participate in the biometrics wellness program, will make a twenty-five percent (25%) monthly contribution. (For the period of January 1, 2015 through February 28, 2015, the employee premium contributions will remain the same as the 2014 rates.)
- (3) Effective January 1, 2017, and each year thereafter, an employee and spouse, where applicable, who are participating in the biometrics wellness program and who meet their annually established goals, will make an eight percent (8%) monthly premium contribution. An employee and spouse, where applicable, who are participating in the biometrics wellness program, but who do not meet their established goals, for any reason other than nicotine usage, will make a twelve percent (12%) monthly premium contribution. An employee and spouse, where applicable, who are participating in the biometrics wellness program but who do not meet their established goals due to nicotine usage will make a twenty (20%) monthly premium contribution. An employee or spouse who chooses not to participate in the biometrics wellness program will make a twenty-five percent (25%) monthly contribution.
- (4) These percentages shall apply on an annual basis.
- (5) The employee and spouse, if applicable, shall not be sent to a COTA doctor based on any information COTA receives from the third party provider. This biometrics wellness program shall be independent from COTA's drug testing program.
- (6) Any testing of an employee under the annual biometrics wellness program shall be accomplished either when the employee is on work time, or, if not feasible, shall be accomplished with the employee receiving a maximum of fifteen minutes of paid time at the employee's straight time hourly rate. COTA will provide alternate sites for spouse testing under the biometrics wellness program.
- (7) An employee who waives medical insurance under Section 12.3 may, but is not required to, participate in the biometrics wellness program. One half of the cost of such participation shall be deducted from the employee's payment under Section 12.3.
- (8) Effective March 1, 2015, a newly hired employee shall make an eight percent (8%) monthly premium contribution if the employee and spouse, where applicable, participate in the biometrics wellness program. This eight percent (8%) monthly premium contribution shall remain in effect throughout the calendar year after the employee and spouse, where applicable, participate in the biometrics wellness program by completing the annual biometrics test. If the newly hired employee or spouse chooses not to participate in the biometrics wellness program, the employee will pay a twenty-five percent (25%) monthly contribution. For the second calendar year after the newly hired employee participates in the biometrics wellness program the provisions for that calendar year would apply. Any employee who is rehired shall be subject to the provisions of this paragraph, 12.1(b)(8).
- (9) If an employee is required to provide health insurance to an ex-spouse the provisions of the biometrics wellness program shall not be applicable to the ex-spouse, unless required by court order.
- (10) COTA may receive aggregate data regarding its employees' health and wellness from the third party provider. The third party provider shall not, however, share any data with COTA that identifies the specific test results of the employee and/or spouse other than whether the employee and/or spouse participated, and/or met or failed to meet their individual biometrics wellness program goals. No information that COTA receives directly from the third party provider may be used by COTA to discipline the employee or have any adverse effect on the employee's employment.

Section 12.2: Recognizing that final rules and regulations under the Patient Protection and Affordable Care Act of 2010 could require changes in benefits and/or administration of the group coverage provided under this Article, the Authority and TWU, Local 208 agree to meet and discuss steps needed to implement the required changes or avoid the assessment of any excise or other tax.

Section 12.3: Medical Opt-Out Option (Option 2) An employee may waive medical insurance coverage by electing Option 2 and providing COTA's Human Resources Department with proof of other coverage at time of the election. Opt-out payment will be paid \$400 per month in two (2) equal payments. Employees who are dependents of other employees (spouse or child) may not receive the opt-out payment. An employee who waives medical insurance may participate in the dental and vision plans by paying a twenty-five percent (25%) premium for such coverage.

Section 12.4: Medicare (a) Group insurance coverage is provided to employees and dependents that are eligible for

Medicare. For employees who elect Medicare while being covered under COTA's group insurance, COTA's group insurance will serve as the primary carrier while Medicare will supplement what the group coverage does not pay.

(b) The Authority will continue to pay the cost of the Medicare, Part B, for the employees and dependents eligible for Medicare, Part B who have elected Medicare while being covered under COTA's group insurance and have received such reimbursement prior to the ratification date of this Agreement; employees who elect Medicare while being covered under COTA's group insurance on and after the ratification date of this Agreement must pay the cost of Medicare Part B, for themselves and their dependents eligible for Medicare, Part B.

(c) The Authority will coordinate group insurance coverage with all applicable federal/state laws and regulations.

Section 12.5: Dental Plan See Appendix C for schedule of benefits

Section 12.6: Vision Plan See Appendix C for schedule of benefits

Section 12.7: Dependents (a) Dependent children under the Dental and Vision Plans are covered by the group plan up to age nineteen (19). If the dependent is a full-time student, the group coverage for such student will be in effect until such student reaches age twenty-six (26) years. Dependent children under the Medical Insurance Plan are covered by the group plan as required by state and federal law.

(b) All dependents covered by the Authority's Medical Insurance Plan at the time of the employee's death, will be covered under such plan for one hundred eighty (180) days after the employee's death, if the employee's spouse does not remarry within that period.

Section 12.8: Robbery and Assault Insurance The Authority will provide a Fifty Thousand Dollar (\$50,000) Robbery and Assault Insurance Policy.

Section 12.9: Life Insurance and Accidental Death and Dismemberment (AD&D) Insurance All active employees will be provided with \$50,000 in Life and AD&D insurance.

Section 12.10: Voluntary Insurance Employees may purchase additional Life and AD&D Insurance for themselves and their dependents. The premium, established by the insurance carrier, will be paid 100% by the employee and will be deducted from the employee's first and second paycheck each month (24 pay periods per year).

Section 12.11: Early Retirement-Total and Permanent Disability (a) The Authority will grant a Term Coverage Life Insurance policy, in accordance with the following schedule, for all employees who are determined by Social Security or PERS to be totally and permanently disabled.

(b) Employees with ten (10) to fifteen (15) years of continuous active service-one thousand dollars (\$1,000) Life Insurance.

(c) Employees with fifteen (15) years and over of continuous active service-two thousand dollars (\$2,000) Life Insurance.

Section 12.12: Normal Retirement. All employees who retire at age sixty (60) or older, and who have twenty (20) or more years of active service, shall be granted a two thousand dollar (\$2,000) Term Coverage Life Insurance Policy.

Section 12.13: Early Retirement-Non Disability. Since the Public Employees Retirement System (PERS) permits early retirement under the age of sixty (60), all employees who, hereafter, retire at an age of less than sixty (60) who have twenty (20) or more years of active service, and who qualify for early retirement under PERS shall be granted a one thousand dollar (\$1,000) term coverage life insurance policy. These employees may opt to purchase an additional one thousand dollars (\$1,000) of coverage by paying COTA directly for the additional coverage. The premium payment would be based upon the existing premium charge to COTA per one thousand dollars (\$1,000) of coverage. Premium cost to retirees will increase proportionately in accordance with increased premium charges incurred by COTA.

Section 12.14: Insurance Certificate and Bond. (a) COTA agrees to provide each retired employee, who is entitled to such, a certificate to evidence the commitment of the insurance carrier to each covered retiree.

(b) The amount of bond submitted to ensure life insurance in the event COTA ceases to exist shall be in an amount sufficient to guarantee the life insurance as set out in Article XII, Sections 12.9, 12.10, and 12.11 of the Agreement for all retirees or employees eligible to retire, but who have continued working. There shall be a redetermination each year (on the anniversary date of the bond) to assess the financial sufficiency of such bond. At the redetermination time, COTA shall increase or decrease, as the case may be, the amount of the bond to ensure sufficiency of the bond to cover the outstanding liability of said life insurance for retirees under this Section.

Section 12.15: Flexible Spending Accounts. COTA agrees to set up Section 125 Flexible Spending Accounts (FSAs) for employee participation. These accounts will consist of a Medical FSA and a Dependent Care FSA. Administration of these accounts will be governed by Section 125a of the Internal Revenue Code.

ARTICLE # TBD

Long Term Leave

Section XX: Weekly Sick Benefits (a) The Authority shall pay \$200/week for a maximum of twenty-six (26) weeks for employees disabled due to non-work related illness or injury and who have been actively employed with COTA for ninety (90) days. Effective 1/1/16 such amount shall be increased to \$300/week.

(b) For non-Authority service-incurred injuries or illness(es), the Authority will pay to an employee an amount equal to the amount provided for in the present Authority insurance plan applicable to such employee, for the first seven-day period, less the first day, of such employee's absence due solely to such injuries or illness(es). Such benefits shall not be paid for an absence of

more than four (4) workdays during such seven-day period. However, the daily benefit shall be computed by dividing the appropriate weekly benefit by four (4).

(c) For absence due to injury or illness caused by, or arising out of, Authority employment, an employee shall receive an amount equal to the amount provided for in the present Authority insurance plan up to the first five (5) days of such absence from scheduled work during the first seven (7) day period following the date of injury, unless such absence extends beyond two (2) weeks from the date of such injury and compensation is awarded for said first week by the Industrial Commission of Ohio. In such event, the Authority will pay the difference between such Commission award and the above stated amount provided for in the insurance plan. After the first week of such absence due to such injury, the Authority will also pay, for a period not to exceed twenty-six (26) additional weeks, an amount equal to any difference between such insurance payments and any weekly compensation received from the Industrial Commission of Ohio for such injury or illness, where the workers compensation payments are less than the employee would have received on weekly sick benefits. Daily benefits during the first seven (7) days of absence shall be computed by dividing the approximate weekly benefit by five (5).

(d) As proof of the sickness or disability contemplated in Paragraphs (b) and (c) above, the Authority may require a certificate of disability, signed by a physician duly licensed to practice general medicine in the State of Ohio.

(e) Employees must exhaust all unused accumulated sick day(s) before being eligible to receive weekly sick benefits.

ARTICLE XIII

Bereavement Leave

(a) When a leave of absence is requested by an employee because of death in the immediate family, the following shall be granted:

- (1) Five (5) consecutive days of bereavement leave confined to legal spouse, son, daughter, stepchild, mother, father, brother or sister.
- (2) Two (2) consecutive days of bereavement leave confined to stepmother, stepfather, mother-in-law, father-in-law, sister-in-law, brother-in-law, son-in-law, daughter-in-law, grandparents or grandchildren.
- (3) Such leave shall be granted without loss of straight time hourly rate of pay to arrange for or attend the funeral. The Authority may require each employee to submit the names and addresses of the employee's immediate family, as well as proof of death.

(b) Employees may extend bereavement leave of absence by the use of available unused earned sick or vacation day(s). Such extension of bereavement leave of absence by the use of such sick or vacation day(s) is limited to two (2) days. (See Article XI, Sick Leave, Section 11.5[b]).

(c) Three (3) days of available sick or vacation leave may be used as Bereavement Leave on the day of the funeral of an aunt and/or uncle. Such use shall not be counted against an employee for any reason. The Authority may require proof of relationship as well as proof of death.

(d) No payment will be made under this Article for any day on which an employee received payment by virtue of any other provision of this Agreement.

ARTICLE XIV

Military Training

Section 14.1: All employees entering the armed services under the provisions of the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) will be accorded all of the benefits of such Act and will be provided with a job to which they would have been eligible, by reason of their seniority, if such employee had not been absent for military service reasons. The Authority and the Union will cooperate in every way to qualify such employees for jobs of a higher rate if their seniority would have made them eligible for promotion to such jobs, except for their absence for military service.

Section 14.2: Employees who are members of the Ohio organized militia or members of other reserve components of the armed forces of the United States, including the Ohio National Guard, will be accorded all the benefits of Ohio Rev. Code §5923.05 for military service, which requires absence from work. Scheduled regular days off will be considered the days off for any time that an employee attends military service. Employees shall produce evidence of the call or order to military service. After the initial service period described in Ohio Rev. Code §5923.05(A), employees shall provide the Authority with the amount of all military compensation (base pay and allowances), and the call or order, in order to establish the supplement, if any, to be paid according to §5923.05(B).

ARTICLE XV

Jury Duty

Employees will receive a full day's pay for working each day of jury duty, less his/her jury duty pay. Saturday and Sunday will be considered the days off for the week the employee serves on jury duty. Employees shall produce evidence of the amount of juror's compensation and the dates served in order to establish the amount to be paid by the Authority.

ARTICLE XVI

Transportation Pass

Section 16.1: (a) Free transportation will be extended to all employees who:

- (1) Are in the active employ of the Authority or;
- (2) Have reached and been granted normal retirement or;
- (3) Retired after having been determined by Social Security or PERS to be totally and permanently disabled.

(b) Employees retired from COTA shall be issued a permanent identification pass providing for free transportation on all scheduled routes of the Authority. Appropriate rules and regulations governing the possession and use of such permanent identification may be issued/modified by the Authority.

(c) An employee who qualifies under Subsection (b) above shall also be granted a permanent identification pass for one (1) legal dependent.

(d) Up to four (4) dependents of each active employee will be eligible for their own Dependent Pass (obtained at COTA facility including photograph). This pass must be renewed on an annual basis.

(e) All active employees will be eligible to substitute one (1) of their Dependent Passes for one (1) Guest Pass (obtained at COTA facility including photograph). This pass must be renewed on an annual basis.

Section 16.2: (a) A permanent identification pass that is stolen shall be replaced at no cost to the employee when satisfactory proof of theft is provided. Satisfactory proof of theft is considered to be a copy of an official police report of robbery of the employee's car, person, or place of residence and such report lists the permanent identification pass.

(b) A permanent identification pass that is lost shall be replaced at a cost as published by the Authority. However, if such lost pass is returned to the Authority within twelve (12) calendar months after the replacement is issued, the employee shall be reimbursed the amount paid for the replacement pass.

ARTICLE XVII

General

Section 17.1: Employees may be hired by the Authority for specific jobs.

Section 17.2: All employees having less than twenty-six (26) weeks seniority are probationary employees until the completion of twenty-six (26) weeks on-the-job service. A leave of absence for any cause, including illness or layoff in excess of seven (7) consecutive days, shall be deducted in computing such twenty-six (26) week period. However, the seniority of such employee shall not be affected by such leave of absence or layoff. Such probationary employees shall have no rights under this Agreement, which may be the subject of a grievance beyond the second step of the grievance procedure. The management's disposition of any such grievance relating to, but not confined to, qualifications and discipline up to discharge shall be final and not be subject to the arbitration provisions of this Agreement.

Section 17.3: (a) A medical examination of an employee may be required at any time by the Authority. Such examinations will be at the Authority's expense, at no loss of time to the employee in any one day, up to, but not exceeding, eight (8) hours at straight time hourly rate of pay.

(b) An employee who furnishes a doctor's statement indicating overtime work would be detrimental to his/her health will be excused from overtime work for the period of time covered by the doctor's statement. The Authority reserves the right to send such employee to the Authority's doctor for verification of such sickness, and the employee shall make his/her doctor's records available to the Authority doctor.

(c) If the Authority requires an employee to be marked off on the sick list and then medical evaluations by the Authority's doctor prove negative, the Authority shall reimburse such employee at his/her respective straight time hourly rate of pay for the days improperly marked off. Any sick day, which may have been charged in this connection, shall be credited back to the employee.

(d) Employees calling in sick/injured will not be required to report for each continuing day of sickness/injury, provided the following information is given to the Authority at the time of the initial call. It will be the sole responsibility of the employee to provide this information:

- (1) Nature of illness/injury;
- (2) Estimate of date of return to work;
- (3) Under a doctor's care;
- (4) If so, name of doctor;
- (5) If accrued sick days are not to be used for the duration of the illness/injury, the employee shall inform the Authority at the time of the initial call.

(e) Employees, prior to returning to work, must follow all rules and regulations governing such return.

Section 17.4: Employees who are assaulted or who witness an extreme/traumatic event, while on duty, will receive up to three (3) days off, as approved by the Vice President of Corporate Communications, without an event under the Attendance Policy.

Section 17.5: For good cause shown, an employee shall be granted a reasonable unpaid leave of absence, the time and period of which shall be determined by the Manager of Customer Service. Such leave shall not be granted if it impairs the operations or maintenance of the Authority's property, except as noted in Article II, Section 2.8. No leaves of absence shall be granted to employees for the purpose of accepting other employment. Complaints of employees regarding disposition of requests for leaves of absence, or their duration, shall be subject to the regular grievance procedure.

Section 17.6: When an employee is called in for discipline, reprimand, instruction, training, or counseling, s/he shall be told the reason why s/he is being called and shall be paid (at a straight time hourly rate) a minimum of fifteen (15) minutes and in increments of fifteen (15) minutes for all time required. An employee will be paid a minimum of one (1) hour when told to report from his/her home to the office. Such time shall be computed on the basis of Authority transportation if available, or, if not available, on the basis of normal travel time by private automobile. Such pay allowances shall not be included in computing overtime. The Authority will make every effort to avoid calling employees for the above when such call(s) would detract from the Authority's operation.

Section 17.7: When an employee is injured on the job due to a work related accident and requests, or is required to go to a hospital for, medical evaluation of his/her injury and cannot complete his/her normally scheduled shift or run, then:

- (1) Customer Service Representatives will be paid their respective straight time hourly rate of pay until their normal scheduled quitting time.
- (2) Under no circumstances will an employee be compensated directly by the Authority beyond their respective quitting time.
- (3) Employees who are working (begin the assignment) an overtime assignment shall be paid, under this Section, their respective straight time hourly rate of pay until their return to the overtime assignment or release from the hospital, whichever is the earliest, but in no case shall an employee be paid beyond his/her scheduled quitting time of the overtime assignment.

Section 17.8: The employees shall be entitled to have a Credit Union, so long as it does not involve any participation by the Authority other than the making of paycheck deductions authorized in writing by the employees.

Section 17.9: The Authority shall provide transportation via Authority-owned equipment for Customer Service Representatives for travel between operating stations of the Authority.

Section 17.10: If an employee utilizes any benefits agreed upon in the Memorandum of Agreement for the purpose for which they are expressly intended, the Authority shall not use this utilization against the employee for disciplinary purposes.

Section 17.11: The Authority shall make arrangements for Chapter 13 payroll deductions under court orders and in accordance with the provisions of applicable laws, rules and regulations.

Section 17.12: An employee who is subpoenaed and appears in court due to such subpoena on Authority related business and, provided further, the employee is/was acting within the responsibility of his/her job, then:

- (1) The Authority will pay the employee for any loss of wages due to loss of work for such court appearance. The intent of this Section is limited to making the employee "whole" if they suffer a loss of wages from his/her respective normal scheduled work hours/runs.
- (2) The Authority will release the subpoenaed employee from his/her work assignment in time to appear at the scheduled court hearing and, upon release from the court by the proper party, the employee will inform his/her supervisor immediately by telephone to receive instructions if s/he is to return to work unless such release from court is beyond his/her normal scheduled quitting time.

Section 17.13: (a) Employees desiring to file criminal charges for acts of a violent nature committed against them by non-employees while in the proper performance of their on-the-job duties shall be provided the opportunity to consult with an attorney employed by the Authority. If, as a result of such consultation, the Authority's attorney concludes that sufficient evidence exists to support a filing of criminal charges, then the Authority's attorney, if requested, shall assist the employee in filing such charges in the appropriate court of law.

(b) If the Authority's attorney concludes that sufficient evidence exists and assists the employee in the actual filing of such charges, the Authority will reimburse the employee for any lost time (straight time hourly rate of pay) away from his/her normally scheduled work to attend court hearings or court trials for the purpose of such criminal prosecution. The Authority's attorney's assistance shall be limited to consultation and assistance in filing charges. Thereafter, the employee shall look to the prosecuting attorney of the particular political subdivision and/ or the employee's own counsel for further legal advice or assistance.

(c) If the Authority's attorney concludes that sufficient evidence does not exist for the filing of criminal charges and the employee disregards this advice, the Authority's attorney will not assist in the filing of charges, nor will the employee be reimbursed for lost time to file charges, attend court hearings or trials.

(d) Where criminal charges are filed against an employee as a result of his/her operation of an Authority motor vehicle while s/he is performing on-the-job duties, the Authority will provide counsel (Authority's choice) for the employee's defense, unless the Authority determines that the employee's actions were beyond the scope of his/her authority. Similarly, where an employee is named as a defendant in civil litigation arising out of the employee's operation of an Authority motor vehicle while s/he is performing on-the-job duties, the Authority will provide counsel (Authority's choice) for the employee's defense, unless the

Authority determines that the employee's actions were beyond the scope of his/her authority. In either of these situations, where the Authority provides for the employee's defense, the Authority will also reimburse the employee for any lost time (straight time hourly rate of pay) away from his/her normally scheduled work to attend court hearings or court trials relative to such charges.

Section 17.14: No bargaining unit member shall be required to cross a primary picket line, which has been lawfully established.

Section 17.15: When the Authority declares an emergency, and delays or cancels its scheduled bus service, and such emergency affects the ability of employees to report to work on time, the Authority shall excuse the tardiness caused by the declared emergency. This provision does not relieve employees of their responsibility to make every effort to report to work as prescribed at all times. An emergency is defined as any situation beyond the control of the Authority.

Section 17.16: (a) All new bulletins/memorandums outlining policies/procedures, which directly affect employees of the bargaining unit, shall be given to the Union President in writing at least seven (7) calendar days prior to their effective date. This requirement does not include bulletins/memorandums of an urgent nature, which cannot be practically delayed for a seven (7) day period.

(b) If the bulletin/memorandum establishes a new policy or procedures, the Union shall have the right to appeal such policy or procedures through the grievance and arbitration procedures as outlined in the Memorandum of Agreement. If arbitration is sought, the results of such arbitration shall be binding on both parties.

(c) All newly established policies or procedures shall remain in force until changed by the Authority, or relieved by the grievance and arbitration procedure.

ARTICLE XVIII

Hours of Work and Working Conditions

Section 18.1: All available positions as designated by the Authority will be posted for sign-up effective the first Monday in January, May and September of each year. Positions will be selected based upon seniority and qualifications. Unusual vacancies will be offered and assigned according to seniority and qualifications. All assignments will be adjusted accordingly, if necessary. Positions for bid will be posted at least ten (10) days before the effective date of the sign-up; the bid will take place at least five (5) days before the effective date.

Section 18.2: Stand-by time/holdovers In accordance with Department of Labor regulations, stand-by time will be paid at straight time hourly rates for periods of time between shifts or assignments, which are for less than thirty (30) minutes.

Section 18.3: Work week defined The normal work week will either be four (4) ten hour assignments or five (5) eight hour assignments consisting of forty (40) hours of work within a seven (7) day period beginning with Monday. Customer Service Representatives with a 4-10 assignment will have at least two consecutive days off per week.

Section 18.4: Overtime (a) Overtime shall be calculated on a forty (40) hour work week. Hours actually worked, plus credit hours for Authority business, Union business, vacation, jury duty, bereavement leave and holidays are to be included in the calculation of the forty (40) hour week. If an employee is required to work a full double shift in the same workday, the second shift shall be paid at time and one-half (1½). Employees held over to complete their own work will be paid at straight time. Any combination of actual work over forty (40) hours a week shall be paid at time and one-half (1½). There will be no compounding of overtime.

(b) Overtime is mandatory. Failure to appear for an overtime assignment shall constitute insubordination and be subject to discipline. Employees who are present and working will be given two (2) hours' notice before being assigned overtime. If not given two (2) hours prior notice, employees will be paid a minimum of three (3) hours pay. Employees may trade overtime.

(c) The Authority will give employees an opportunity at the time of each sign-up to place their name on a voluntary overtime list. Overtime will be given to qualified employees on the list in order of seniority. There will be no obligation on the part of the Authority to balance by hours. Any overtime not filled by the voluntary list will be assigned in reverse order of seniority beginning with the least senior person in the bargaining unit on a rotating basis. For a new hire to be eligible to sign-up for the voluntary overtime list, they must have satisfactorily completed their probationary period. Current employees who have transferred to a new area (call center) must successfully complete training and work alone for four (4) weeks.

Section 18.5: Calling In Employees must call in to their supervisor one (1) hour prior to the start of their shift.

Section 18.6: Qualified Customer Service Representatives will receive \$1.50 per hour, with a minimum of two (2) hours, in addition to the straight time hourly rate, when training other Representatives. The determination as to the assignment for training will be at the discretion of Management. Qualifications for training assignments are: two (2) years or more of service as a COTA Customer Service Representative; and the ability to work the assigned training shift(s) and other criteria as established by Management. Training will be rotated on a seniority basis.

Section 18.7: Customer Service Representatives may trade shifts once per sign-up. Trade requests must be submitted for approval to the appropriate supervisor in person seven (7) days before the shift is to be traded. Both Customer Service Representatives must work either an eight (8) hour assignment or a ten (10) hour assignment.

Section 18.8: Employees may trade lunch hours and breaks up to a maximum of four (4) times per sign-up period. Only the employee requesting the change will be charged.

Section 18.9: Unless revenues, expenses, and/or call volume dictate otherwise, the number of full-time employees in the

COTA Customer Service Center will not fall below the number of employees budgeted in COTA's year 2000 budget; fourteen (14).

Section 18.10: Customer Service Representatives may trade days off, or vacation days off, four (4) times per sign-up, if another Customer Service Representative is willing to trade. Trade requests must be submitted for approval to the appropriate supervisor in person, forty-eight (48) hours before the day(s) off or vacation day(s) off is/are to be traded. Both sets of day(s) off must be taken within the same work week. Both Customer Service Representatives must work either an eight (8) hour assignment or a ten (10) hour assignment. Customer Service Representatives trading days off or vacation days off will be responsible for working all scheduled work assignments, including overtime assignments.

Section 18.11: Employees will not be required to clock in and out for breaks. However, employees in the Call Center must have an availability rate of ninety-seven percent (97%) or higher, which equates to seven (7) hours and seventeen (17) minutes on a scheduled eight (8) hour work day and nine (9) hours and thirteen (13) minutes on a scheduled ten (10) hour work day. For workdays that are less than or greater than eight (8) hours, the availability rate of 97% or higher will be based on the total number of hours worked. This will go into effect thirty (30) days after the ratification date of this contract. If availability is less than as stated above, then progressive discipline under Abuse of Breaks policy will apply.

ARTICLE XIX

Duration

Section 19.1: This contract contains the entire Agreement of the parties, any prior contracts, customs or interpretation to the contrary notwithstanding, and unless otherwise specifically provided herein to the contrary, shall become effective and operative as of January 1, 2015 and shall continue in force and be binding upon the parties hereto and their respective successors and assigns until midnight (12:00 a.m.), December 28, 2017. The parties further agree that they will meet and negotiate from time to time within the sixty (60) day period immediately preceding the termination date, in an effort to mutually determine the terms and provisions of a new collective bargaining Agreement for a succeeding period.

The Authority and the Union acknowledge that during the negotiations, which preceded this agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject matter not removed by law from the area of collective bargaining and that the understanding and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement.

Therefore, for the life of this Agreement, the Authority and the Union each voluntarily and qualifiedly gives the right, and agrees that the other shall not be obligated to negotiate collectively with respect to any subject or matter not specifically referred to or covered in this Agreement, even though such subjects or matters may not have been within the knowledge or contemplation of either, or both, of the parties at the time they negotiated and signed the Agreement.

Section 19.2: In the event any state or federal laws are enacted, which affect the transit industry and which require the payment of overtime hours worked beyond a certain number in a workday or in a workweek, then, upon the effective date of such law, affected provisions of the Agreement shall become null and void and of no effect and the parties agree to meet and negotiate with respect to the subject matter of such Articles, looking toward a mutually satisfactory agreement not inconsistent with such state and/or federal laws.

Section 19.3: IN WITNESS WHEREOF, the parties have caused this agreement to be executed in duplicate by their respective officers and attested by their respective Representatives as of the day and year first above written.

Central Ohio Transit Authority
and
Transport Workers Union, Local 208
(Customer Service Representatives)

CENTRAL OHIO TRANSIT AUTHORITY

By: Dawn Tyler Lee, Chair, COTA Board of Trustees

Attest: W. Curtis Stitt, President/CEO

Kristen M. Treadway Vice President of Human Resources and Labor Relations

TRANSPORT WORKERS UNION OF AMERICA
AFL-CIO, LOCAL 208

By: Ronald L Dreyfus, President

Attest: Alesia L. Combs, Secretary-Treasurer

Approved: Theotis James, International Vice President / International Representative

APPENDIX A

Wages¹

The starting rate for full-time Customer Service Representatives shall be as follows:

0-12 months	79% of top rate
13-24 months	83% of top rate
25-36 months	87% of top rate
37-48 months	90% of top rate
49-60 months	95% of top rate
Over 60 months	100% of top rate

	<u>1/1/15 (2.75%)</u>	<u>1/1/16 (2.25%)</u>	<u>1/1/17 (2.25%)</u>
Over 60 months	\$17.02	\$17.40	\$17.79
49-60 months	\$16.17	\$16.53	\$16.90
37-48 months	\$15.32	\$15.66	\$16.01
25-36 months	\$14.81	\$15.14	\$15.48
13-24 months	\$14.13	\$14.44	\$14.77
0-12 months	\$13.45	\$13.75	\$14.05

1. Lead Customer Service Representative(s) will receive 40 cents per hour in addition to the applicable rate.
2. Bilingual employees will be paid an additional 5% over the applicable rate.

PERS PICK-UP²

The Authority will pick-up the following from the employee's contribution to the Public Employees Retirement System:

1/1/2015: three percent (3%)

7/1/2016: two and one-half percent (2.5%)

1/1/2017: two and one-quarter percent (2.25%)

The changes to the percentages listed above will be reflected in the first paycheck of the first full pay period following the date listed.

Effective 1/1/13, all newly hired or rehired employees to COTA will pay their full portion of the statutorily required employee contribution to the Public Employees Retirement System.

Perfect Attendance Bonus³

Employees will be eligible for an annual Perfect Attendance Bonus of five hundred dollars (\$500), less normal withholdings.

¹ Retroactivity, back to 1/1/15, is for all applicable individuals employees on the date of ratification and applies to wages and PERS pick up only.

APPENDIX B

Longevity Increases/PIC Program

Effective January 1, 2016, employees with five (5) full years of service and eligible to receive a longevity increase, as set forth in Appendix B, may continue to receive such benefit or may make a one-time election to replace the longevity increases set forth in Appendix B with the fixed-route system-wide Performance Incentive Compensation program (PIC) as set forth below.

Such election must be made between November 1 and December 30, 2015 and shall be in writing to the Human Resources Division. Such election is irrevocable and will take effect immediately.

Section 1. Longevity:

(a) All employees that do not elect the PIC as set forth above shall receive a longevity increase based upon their respective in-service date of any job(s) that are covered by this Agreement. Such longevity increase is in addition to the Wage Schedule shown in Appendix "A".

(b) The longevity amount shall be one cent (1¢) per hour per year of service beginning with five cents (5¢) per hour after five (5) full years of service, and shall cease to increase after reaching a maximum of thirty cents (30¢) per hour after thirty (30) full years of service. All employees having thirty-one (31) or more full years of service shall continue to receive the longevity amount of thirty-cents (30¢) per hour.

Section 2. PIC:

(a) Eligibility

(1) Employees who are not eligible to receive a longevity increase, employees that have elected the PIC program as set forth above, or newly hired full-time employees are eligible to participate in the PIC program.

(2) Newly hired employees with less than a full year of service will receive the PIC on a pro-rated basis for the calendar year, depending on the start date.

(3) Employees must be on the payroll as of December 31st of the calendar year, except retirees or employees on lay-off who will receive a pro-rated benefit for months actually worked in the calendar year.

(b) PIC Parameters:

(1) The PIC will be earned based on the Authority meeting specific performance objectives. Such objectives shall be determined annually. The Union shall have a representative on any PIC committees.

(2) Employees shall be eligible to receive the PIC not to exceed 3.0% of their annual gross wages in 2016 and 4.0% of their annual gross wages in 2017 based on the percentages provided in the PIC program.

Such payout will be made on an annual basis, as soon as practicable in the subsequent year, but no later than February 15th, subject to approval of the COTA Board of Trustees. The Union will be provided all periodic PIC updates.

Bonus Program--effective 1/1/2015—12/31/2015

Customer Service Representatives are also eligible for a monetary bonus or days off work each sign-up period within 2015 calendar year only for meeting/exceeding performance standards established to enhance service to the customer. The Bonus Pool will be based on the following criteria:

- (1) Bonus Pool will be based on individual goals.
- (2) Recognition will be each sign-up period.
- (3) Payout will be \$200 or \$100.

The \$200 bonus can be exchanged for a personal day off; if elected each payout will be cash. To be eligible for the quarterly bonus (at time of sign-up), employees must meet/exceed the following standards:

\$200 Bonus or One (1) Personal Day*	\$100 Bonus
No absences or tardies	8 hours or less in absences and zero tardies
No verified complaints	No verified complaints
Commendable performance	Meets performance
98% + availability	98% + availability
30+ calls per hour	25-30 calls per hour
90 seconds or less talk time	90-110 seconds talk time
Exceeds phone monitoring level	Meets phone monitoring level
*Personal day has to be approved by the Customer Service Manager and taken within the next sign-up period.	

Incentive Bonus Program will be eliminated 12/31/2015 with any last eligible payout occurring for the time period ending 12/31/2015.

APPENDIX C

[Subject to Article XII]

Effective January 1, 2015-December 31, 2015

Central Ohio Transit Authority

Super Med Plus

Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Dependent Age Limit	As required by state and federal law	
Lifetime Maximum	Unlimited	
Gastric Bypass Lifetime Maximum (Includes Complications)	\$40,000	
Deductible	\$500/\$1,000	\$1000/\$2,000
Co-Insurance Maximum (Includes Deductible)	\$1,500/\$3,000	\$2,500/\$5,000
Co-Insurance	90%	60% UCR
Preventative Care Office Visit Co-pay	\$0	Deductible, then 20%
Office Visit Co-Pay	\$20	Deductible, then 40%
INPATIENT SERVICES		
Inpatient Hospital Admission	Deductible, then 90%	Deductible, then 60% UCR
Semi Private Room & Board	90%	60% UCR
Physician Services	90%	60% UCR
Surgery & Anesthesia	90%	60% UCR
Drugs & Other Medication	90%	60% UCR
Lab & X-Ray Services	90%	60% UCR
Ancillary Services	90%	60% UCR
Maternity Care	100%	60% UCR
Mental Health Care and/or Substance Abuse Treatment; Limited to 30 Days Per Benefit Period; (Limited to 3 Inpatient Admissions Per Lifetime; 1 Admission Per Benefit Period for Substance Abuse)	90%	Not covered
OUTPATIENT SERVICES		
Emergency Room	\$150 co-pay, then 90% (co-pay waived if admitted)	\$150 co-pay, then 60% UCR
Mental Health and/or Substance Abuse Treatment (20 Visits Combined Per Benefit Period)	90%	60% UCR
Outpatient Surgery	90%	60% UCR
Urgent Care Center	\$20 co-pay, then 90%	\$30 co-pay, then 60% UCR

APPENDIX C (continued)

Effective January 1, 2015-December 31, 2015

Central Ohio Transit Authority
Super Med Plus
Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Office Visits (other than preventative)	Co-pay, then 90%	60% UCR
X-Ray Services	90%	60% UCR
DME	90%	60% UCR
Annual Physical Examination	100%	60% UCR
Laboratory Services	90%	60% UCR
Well Child Care (to Age 12) Includes Immunizations and Allergy Tests & Treatments	100%	60% UCR
Ambulance Services	90%	60% UCR
Home Health Care	90%, 30 days per authorization	60% UCR, 30 days per authorization
Hospice Care	90%	60% UCR
Private Duty Nursing/Skilled Nursing Facility	90%	60% UCR
PRESCRIPTION DRUG SERVICES (NON-MAINTENANCE DRUGS¹)		
Prescription or Refill	Generic \$10 Formulary Brand \$30 Non-Formulary Brand \$50	

In-network = Services provided by a vendor network physician.

Out-of-network = Services provided by a non-participating, or non-contracting provider; balance billing may apply.

¹Only the first three 30-day supplies of a maintenance drug will be covered if purchased at a retail pharmacy. Any subsequent refills must be purchased through the mail-in order prescription plan.

APPENDIX C (continued)
Effective January 1, 2015-December 31, 2015
Central Ohio Transit Authority (COTA)
OPT-OUT (OPTION 2)
Plan Design – Union 002 (1)

OPT-OUT (OPTION 2)
Opt-Out Payment - \$400/Month in Two Equal Parts (i.e. medical, dental and vision)
Employees who choose to Opt-Out out of Medical Coverage, but maintain Vision and Dental coverage (see Article XII, Sec. 12.4). Vision and Dental coverage will be the same as listed in the Vision and Dental sections of the Appendix. The premium cost is as follows: 3/1/2015 25% of Monthly Premium

APPENDIX C (continued)

Effective January 1, 2015-December 31, 2015

Central Ohio Transit Authority
Mail Order Prescription Drug Program
Plan Design – Union 002 (1)

PRESCRIPTION DRUG BENEFITS	
Dependent Age Limit	As required by state and federal law
Co-Payment	Generic \$20 Formulary Brand \$60 Non-Formulary Brand \$100
Oral Contraceptives	Covered
Days' Supply	90

APPENDIX C (continued)

Effective January 1, 2015-December 31, 2017

Central Ohio Transit Authority
Dental Program
Plan Design – Union 002 (1)

DENTAL BENEFITS	
Dependent Age Limit	Age 19/Age 26 if full-time student
Deductible	\$25 individual/\$75 family per benefit period
Maximum	\$1,500 per calendar year
Lifetime Maximum	Unlimited
PREVENTIVE SERVICES	
Oral Examinations (2 Per Calendar Year)	100% UCR
Bite-Wing X-Rays (2 Per Calendar Year)	100% UCR
Prophylaxis (2 Per Calendar Year)	100% UCR
Topical Fluoride Treatments (One Per Calendar Year For Eligible Dependent Children Under Age 19)	100% UCR
Space Maintainers (for Eligible Dependent Children Under Age 19)	100% UCR
ESSENTIAL SERVICES	
Diagnostic X-Rays	100% UCR
Repair of Dentures	80% UCR
Restorations	80% UCR
Simple Extractions	80% UCR
Endodontics	80% UCR
Surgical Extractions	80% UCR
COMPLEX SERVICES	
Inlays and Onlays (for Covered Persons Age 16 & Over)	80% UCR
Crowns (Covered Persons Age 16 & Over)	80% UCR
Dentures	80% UCR
Bridges	80% UCR
ORTHODONTIA SERVICES	
Orthodontics (for Eligible Dependent Children Under Age 19)	80% UCR (not subject to deductible up to a lifetime maximum of \$1,000)

APPENDIX C (continued)

Effective January 1, 2015-December 31, 2017

Central Ohio Transit Authority
Standard Vision Care Program
Union 002 (1)

VISION BENEFITS		
Dependent Age Limit	Age 19/Age 26 if full-time student	
Examination (One Every 12 Months)	100% UCR	
Frames (One Pair Every 12 Months)	Limited to \$140	
Lenses	These benefits are paid per person for 12 months	
	Single	\$70/Pair
	Bifocals	\$100/Pair
	Trifocals	\$140/Pair
	Lenticular	\$150/Pair
	Medically Necessary Contact Lenses	\$300/ Pair
	Cosmetic Contact Lenses	\$140/Pair

APPENDIX C (continued)

[Subject to Article XII]

Effective January 1, 2016-December 31, 2016

Central Ohio Transit Authority
Super Med Plus
Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Dependent Age Limit	As required by state and federal law	
Lifetime Maximum	Unlimited	
Gastric Bypass Lifetime Maximum (Includes Complications)	\$40,000	
Deductible	\$1,500/\$3,000	\$3,000/\$6,000
Co-Insurance Maximum (Includes Deductible)	\$3,000/\$6,000	\$7,500/\$15,000
Co-Insurance	85%	60% UCR
Preventative Care Office Visit Co-pay	\$0	Deductible, then 20%
Office Visit Co-Pay	\$20	Deductible, then 40%
Specialist	\$30	Deductible, then 40%
INPATIENT SERVICES		
Inpatient Hospital Admission	Deductible, then 85%	Deductible, then 60% UCR
Semi Private Room & Board	85%	60% UCR
Physician Services	85%	60% UCR
Surgery & Anesthesia	85%	60% UCR
Drugs & Other Medication	85%	60% UCR
Lab & X-Ray Services	85%	60% UCR
Ancillary Services	85%	60% UCR
Maternity Care	100%	60% UCR
Mental Health Care and/or Substance Abuse Treatment; Limited to 30 Days Per Benefit Period; (Limited to 3 Inpatient Admissions Per Lifetime; 1 Admission Per Benefit Period for Substance Abuse)	85%	Not covered
OUTPATIENT SERVICES		
Emergency Room	\$150 co-pay, then 85% (co-pay waived if admitted)	\$150 co-pay, then 60% UCR
Mental Health and/or Substance Abuse Treatment (20 Visits Combined Per Benefit Period)	85%	60% UCR
Outpatient Surgery	85%	60% UCR
Urgent Care Center	\$30 co-pay, then 85%	\$40 co-pay, then 60% UCR

APPENDIX C (continued)

Effective January 1, 2016-December 31, 2016

Central Ohio Transit Authority
Super Med Plus
Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Office Visits (other than preventative)	Co-pay, then 85%	60% UCR
X-Ray Services	85%	60% UCR
DME	85%	60% UCR
Annual Physical Examination	100%	60% UCR
Laboratory Services	85%	60% UCR
Well Child Care (to Age 12) Includes Immunizations and Allergy Tests & Treatments	100%	60% UCR
Ambulance Services	85%	60% UCR
Home Health Care	85%, 30 days per authorization	60% UCR, 30 days per authorization
Hospice Care	85%	60% UCR
Private Duty Nursing/Skilled Nursing Facility	85%	60% UCR
PRESCRIPTION DRUG SERVICES (NON-MAINTENANCE DRUGS¹)		
Prescription or Refill	Generic \$10 Formulary Brand \$30 Non-Formulary Brand \$50 Specialty \$70	

In-network = Services provided by a vendor network physician.

Out-of-network = Services provided by a non-participating, or non-contracting provider; balance billing may apply.

¹Only the first three 30-day supplies of a maintenance drug will be covered if purchased at a retail pharmacy. Any subsequent refills must be purchased through the mail-in order prescription plan.

APPENDIX C (continued)

[Subject to Article XII]

Effective January 1, 2017-December 31, 2017

Central Ohio Transit Authority
Super Med Plus
Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Dependent Age Limit	As required by state and federal law	
Lifetime Maximum	Unlimited	
Gastric Bypass Lifetime Maximum (Includes Complications)	\$40,000	
Deductible	\$1,500/\$3,000	\$3,000/\$6,000
Co-Insurance Maximum (Includes Deductible)	\$3,500/\$7,000	\$7,500/\$15,000
Co-Insurance	80%	60% UCR
Preventative Care Office Visit Co-pay	\$0	Deductible, then 20%
Office Visit Co-Pay	\$20	Deductible, then 40%
Specialist	\$30	Deductible, then 40%
INPATIENT SERVICES		
Inpatient Hospital Admission	Deductible, then 80%	Deductible, then 60% UCR
Semi Private Room & Board	80%	60% UCR
Physician Services	80%	60% UCR
Surgery & Anesthesia	80%	60% UCR
Drugs & Other Medication	80%	60% UCR
Lab & X-Ray Services	80%	60% UCR
Ancillary Services	80%	60% UCR
Maternity Care	100%	60% UCR
Mental Health Care and/or Substance Abuse Treatment; Limited to 30 Days Per Benefit Period; (Limited to 3 Inpatient Admissions Per Lifetime; 1 Admission Per Benefit Period for Substance Abuse)	80%	Not covered
OUTPATIENT SERVICES		
Emergency Room	\$150 co-pay, then 80% (co-pay waived if admitted)	\$150 co-pay, then 60% UCR
Mental Health and/or Substance Abuse Treatment (20 Visits Combined Per Benefit Period)	80%	60% UCR
Outpatient Surgery	80%	60% UCR
Urgent Care Center	\$30 co-pay, then 80%	\$40 co-pay, then 60% UCR

APPENDIX C (continued)

Effective January 1, 2017-December 31, 2017

Central Ohio Transit Authority

Super Med Plus

Plan Design – Union 002 (1)

	IN-NETWORK	OUT-OF-NETWORK
Office Visits (other than preventative)	Co-pay, then 80%	60% UCR
X-Ray Services	80%	60% UCR
DME	80%	60% UCR
Annual Physical Examination	100%	60% UCR
Laboratory Services	80%	60% UCR
Well Child Care (to Age 12) Includes Immunizations and Allergy Tests & Treatments	100%	60% UCR
Ambulance Services	80%	60% UCR
Home Health Care	80%, 30 days per authorization	60% UCR, 30 days per authorization
Hospice Care	80%	60% UCR
Private Duty Nursing/Skilled Nursing Facility	80%	60% UCR
PRESCRIPTION DRUG SERVICES (NON-MAINTENANCE DRUGS¹)		
Prescription or Refill	Generic \$10 Formulary Brand \$30 Non-Formulary Brand \$50 Specialty \$70	

In-network = Services provided by a vendor network physician.

Out-of-network = Services provided by a non-participating, or non-contracting provider; balance billing may apply.

¹Only the first three 30-day supplies of a maintenance drug will be covered if purchased at a retail pharmacy. Any subsequent refills must be purchased through the mail-in order prescription plan.

APPENDIX C (continued)
Effective January 1, 2016-December 31, 2017
Central Ohio Transit Authority (COTA)
OPT-OUT (OPTION 2)
Plan Design – Union 002 (1)

OPT-OUT (OPTION 2)
Opt-Out Payment - \$400/Month in Two Equal Parts (i.e. medical, dental and vision)
Employees who choose to Opt-Out out of Medical Coverage, but maintain Vision and Dental coverage (see Article XII, Sec. 12.4). Vision and Dental coverage will be the same as listed in the Vision and Dental sections of the Appendix. The premium cost is as follows: 1/1/16 and 1/1/17 25% of Monthly Premium

APPENDIX C (continued)

Effective January 1, 2016-December 31, 2017

Central Ohio Transit Authority
Mail Order Prescription Drug Program
Plan Design – Union 002 (1)

PRESCRIPTION DRUG BENEFITS	
Dependent Age Limit	As required by state and federal law
Co-Payment	Generic \$20 Formulary Brand \$60 Non-Formulary Brand \$100 Specialty \$140
Oral Contraceptives	Covered
Days' Supply	90